This Page Is Inserted by IFW Operations and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

As rescanning documents will not correct images, please do not report the images to the Image Problem Mailbox.

(19) World Intellectual Property Organization International Bureau



(43) International Publication Date 10 April 2003 (10.04.2003)

PCT

(10) International Publication Number

(51) International Patent Classification7: C07H 21/00

C12Q 1/68,

WO 03/029494 A1

- (21) International Application Number: PCT/US02/31811
- (22) International Filing Date: 3 October 2002 (03.10.2002)
- (25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data: 60/326.872

3 October 2001 (03.10.2001) US

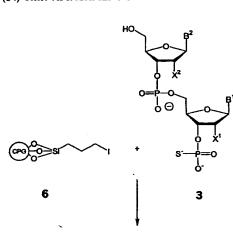
- (71) Applicant (for all designated States except US): MI-CROLOGIX BIOTECH INC. [CA/CA]; 3650 Wesbrook Mall, Vancouver, British Columbia V6S 2L2 (CA).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): IYER, Radhakrishnan, P. [IN/US]; 15 Quail Hollow Drive, Shrewsbury,

MA 01545 (US). PANDEY, Rajendra, K. [IN/US]; 71 Fraternal Avenue, Worcester, MA 01606 (US). KUCHI-MANCHI, Satya, N. [IN/US]; 1105 Lexington Street, #B4-6, Waltham, MA 02451 (US). ROLAND, Arlene [FR/CA]; 8074 rue St. Dominique, Montreal, Québec H2R 1X9 (CA).

- (74) Agents: PEPE, Jeffrey, C. et al.; Seed Intellectual Property Law Group PLLC, Suite 6300, 701 Fifth Avenue, Seattle, WA 98104-7092 (US).
- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

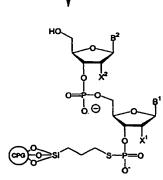
[Continued on next page]

(54) Title: ATTACHMENT OF THIOPHOSPHATE TETHERED OLIGONUCLEOTIDES TO A SOLID SURFACE



(57) Abstract: The present invention provides an alternative method of attaching a 3'-thiophosphate mononucleotide or oligonucleotide to a solid support. The method permits chemoselective binding of a thiophosphate mono- or oligonucleotide to a solid support. The present invention is useful in production of microarrays, chips, beads or other solid matrices for gene expression profiling, single nucleotide polymorphism, and pharmacogenomics, target validation, sequencing and for any application that involves contacting a target nucleic acid sequence with a support-bound probe.

WO 03/029494 A1



(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

with international search report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

ATTACHMENT OF THIOPHOSPHATE TETHERED OLIGONUCLEOTIDES TO A SOLID SURFACE

BACKGROUND OF THE INVENTION

Field of the Invention

5

25

The present invention relates to the field of solid phase oligonucleotide synthesis and attachment, and more specifically, to chemoselective binding of thiophosphate mono- or oligonucleotide to a solid support.

Description of the Related Art

10 The use of oligonucleotides for such purposes as antisense inhibition of protein expression and as PCR primers is now well established. Particularly in the antisense field, modifications to an oligonucleotide have been deemed essential to improve oligonucleotide uptake, increase nuclease resistance of the oligonucleotide, and improve efficacy of protein expression.

15 Modification of oligonucleotide backbones (e.g., phosphorothioate modification of internucleoside linkages) has been one area of study. Accordingly, there is a continuing need for alternative and improved methods of synthesis of modified oligonucleotides to achieve increased yields and purity while at the same time reducing synthesis time and costs.

20 BRIEF SUMMARY OF THE INVENTION

The present invention provides an alternative method of attaching a thiophosphate mononucleotide or oligonucleotide to a solid support. The method comprises contacting a thiophosphate mono- or oligonucleotide with a functionalized solid support under conditions that facilitate attachment of the oligonucleotide to the functionalized solid support via the sulfur atom of the thiophosphate moiety of the oligonucleotide. In certain aspects, the method of

PCT/US02/31811 WO 03/029494

the present inventions advantageously permits chemoselective binding of the thiophosphate mono- or oligonucleotide to the solid support.

The present invention is useful in microarrays, chips, beads or other solid matrices for gene expression profiling, single nucleotide polymorphism, and pharmacogenomics, target validation, sequencing and for any application that involves contacting a target nucleic acid sequence with a support-bound probe.

BRIEF DESCRIPTION OF THE SEVERAL VIEWS OF THE DRAWING(S)

Figure 1 schematically depicts two methods of functionalizing a solid support with an iodoalkyl moiety. 10

Figure 2 schematically depicts synthesis of a 3'-thiophosphate dinucleotide.

Figure 3 schematically depicts a method of attaching a 3' thiophosphate dinucleotide to a silanized solid support.

Figure 4 schematically depicts the silanization of a glass slide.

DETAILED DESCRIPTION OF THE INVENTION

15

25

In one aspect, the present invention provides a method of attaching a thiophosphate mono- or oligonucleotide to a solid support. The method comprises contacting a thiophosphate mono- or oligonucleotide with a 20 functionalized solid support under conditions that permit linkage of the sulfur of the 3'-thiophosphate to the solid support. In the method of the invention, the solid support is functionalized to have a linker moiety bearing a functional group (e.g., halo, amino, thiol, epoxy, or acryl). The thiophosphate mono- or oligonucleotide attaches to the solid support by covalent or ionic linkage to the functional group or displacement of the functional group.

In a preferred embodiment, the solid support is derivatized with an iodoalkyl moiety. In this embodiment, a thiophosphate mono- or oligonucleotide displaces the iodo moiety to covalently bond to the alkyl moiety attached to the solid support.

In another aspect, the invention provides an improved method of attaching a mono- or oligonucleotide to a solid support. In a preferred embodiment, the method comprises (a) functionalizing a solid support with a linker moiety bearing a functional group (e.g., halo, amino, thiol, epoxy, or acryl moieties); (b) functionalizing the mono- or oligonucleotide with a thiophosphate moiety; and c) contacting the thiophosphate mono- or oligonucleotide with the functionalized solid support under conditions that permit linkage of the support-bound linker to the sulfur of the thiophosphate. Preferably the thiophosphate mono- or oligonucleotide is a 3'- or 5'-thiophosphate mono- or oligonucleotide, and most preferably a 3'-thiophosphate mono- or oligonucleotide.

10

25

Figure 1 displays two illustrative methods by which a solid support can be modified in accordance with the invention. While this figure displays a controlled pore glass (CPG) support, other art recognized solid supports can be used as well. Examples include polymer supports, 96 well plates, beads and membranes. In method 1, synthesis of the aminoalkyl functionalized support (4) can be accomplished using art recognized techniques (e.g., S. Agrawal, Ed., Methods in Molecular Biology, Vol. 20, "Protocols for Oligonucleotides and Analogs: Synthesis and Properties," Chapter 19, Humana Press Inc., Totowa, NJ, 1993). Conversion of the aminoalkyl functional group to the iodoalkylcarboxamide (5) is accomplished by treating amino alkyl CPG or other supports with iodoalkyl carboxylic acids in presence of activating agents, carbonyl diimidazole (CDI), dicyclohexyl carbodiimide (DCC) or ethyl dimethylaminopropyl carbodiimide (EDC).

In Figure 2, B^1 and B^2 are independently a naturally occurring base (adenine, thymine, cytidine or guanine), a non-naturally occurring base (with or without exocyclic modifications), or a heterocycles, and X^I and X^2 are independently H, halo (F, CI, Br, I), -NHR, -CO₂R, -SR or -OR, wherein R is C₁-C₆ alkyl, C₂-C₆ alkenyl, C₂-C₆ alkynyl, C₅-C₇ cycloalkyl, aryl, or C₁-C₆ alkyl and heterocycle. For the purposes of this invention, a heterocycle is a mono-, bi-, or tri-cyclic fused ring system comprised of C₅ or C₆ aromatic or non-aromatic rings, wherein from one to all rings have one, two, or three heteroatoms

selected from O, N, and S, provided if two heteroatoms are adjacent in the ring, they are both N.

In the second method, the solid support is treated with trialkoxyiodoalkyl silanes. In one preferred embodiment, the solid support is treated with trimethoxy iodopropyl silane.

Both 3'-and 5'-thiophosphate mono and oligonucleotides can be prepared by art-recognized techniques. Figure 2 displays an exemplary synthesis of a 3'-thiophosphate dinucleotide.

Either or both a 3'- and 5'-thiosphosphate mono- or oligonucleotides can then contacted with the iodoalkyl-functionalized solid support (e.g., 5 or 6 in Figure 1) under conditions that permit displacement of the iodo moiety and binding of the thiophosphate mono- or oligonucleotide through the sulfur of the thiophosphate moiety. The attachment of a 3'thiophosphate dinucleotide is depicted in Figure 3.

10

15

20

30

For example, glass slides were silanized and had oligonucleotides attached as follows. Commercially available glass slides were placed in a slide holder and washed with water. The slides were then kept in 1 N sodium hydroxide bath overnight. At the end of this period, the slides were taken out and thoroughly washed with water, distilled water, and, finally, ethanol. The slides were then baked in a hot air oven at 110 °C for 2h. The slides were allowed to come to room temperature. A 5% solution of trimethoxy isopropyl iodo silane was prepared in methanol. The slide chamber was filled with this solution (200 mL) and the slide rack containing the clean slides was placed in this container for a period of 2h. The slide rack was taken out and the slides 25 were washed thoroughly with ethanol. The silanized slides were dried in a hot air oven for 2h at 110 °C. Silanized slides were stored in a box under dust free conditions.

Oligonucleotides having a 3'-thiophosphate were prepared according to the procedure described in Roland et al. (Tetrahedron Letters 42:3669-72, 2001). Briefly, a 1 micromolar solution of the oligo was prepared in an appropriate buffer (100 mM phosphate, 25 mM tris or milliQ water). Spots of

1 microliter volume were placed on predetermined locations on the surface of the glass slide. The slides were allowed to air dry for 2h and then were put in a hot air oven for an hour at 80 °C. The slides were taken out from the oven and were allowed to come to room temperature. After a mild wash with water, the slides were shown to have the probes firmly attached to the surface.

In certain aspects (e.g., when using a haloalkyl derivatized support), the method of the invention is advantageous in that it permits chemoselective binding via the 3'- or 5'-terminal thiophosphate sulfur. By contrast, attachment of 3'- or 5'-thiophosphate to aminoalkyl-functionalized supports is nonchemoselective; they react with the internucleotide phosphodiesters to form phosphoramidates or bind with the amine surface to form an ionic complex as an acid-base reaction.

As used herein, an oligonucleotide is a polynucleotide chain of two or more nucleotides. In certain embodiments, oligonucleotides of the present invention will preferably have a length ranging from about 2 to about 1000 nucleotides, more preferably from about 2 to about 1000 nucleotides, even more preferably from about 2 to about 50 nucleotides, and most preferably from about 2 to about 20 nucleotides. Any concentration or size ranges recited herein are to be understood to include concentrations of any integer within the range and fractions thereof, such as one tenth and one hundredth of an integer, unless otherwise indicated. Also as used herein, the term "about" means \pm 10% of the indicated value.

20

25

30

As described herein, the invention also comprises tethering of a 5'-thiophosphate mono- or oligonucleotide to a solid support by contacting a 5'-thiophosphate mono- or oligonucleotide with a solid support derivatized with a linker moiety bearing a leaving group (e.g., halo, amino, thiol, epoxy, or acryl) under conditions that permit displacement of the leaving group and covalent linkage of the sulfur of the 5'-thiophosphate to the solid support via the linker moiety. In a preferred embodiment, the solid support is derivatized with an iodoalkyl moiety (i.e., the alkyl moiety is the linker and the iodo moiety is the leaving group).

All of the above U.S. patents, U.S. patent application publications, U.S. patent applications, foreign patents, foreign patent applications and non-patent publications referred to in this specification and/or listed in the

5 Application Data Sheet, are incorporated herein by reference, in their entirety.

From the foregoing it will be appreciated that, although specific embodiments of the invention have been described herein for purposes of illustration, various modifications may be made without deviating from the spirit and scope of the invention. Accordingly, the invention is not limited except as

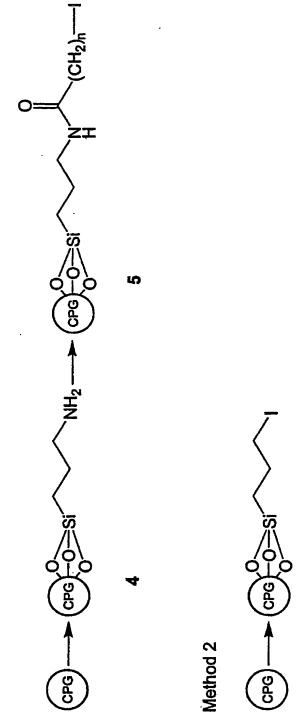
by the appended claims.

10

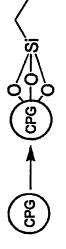
CLAIMS

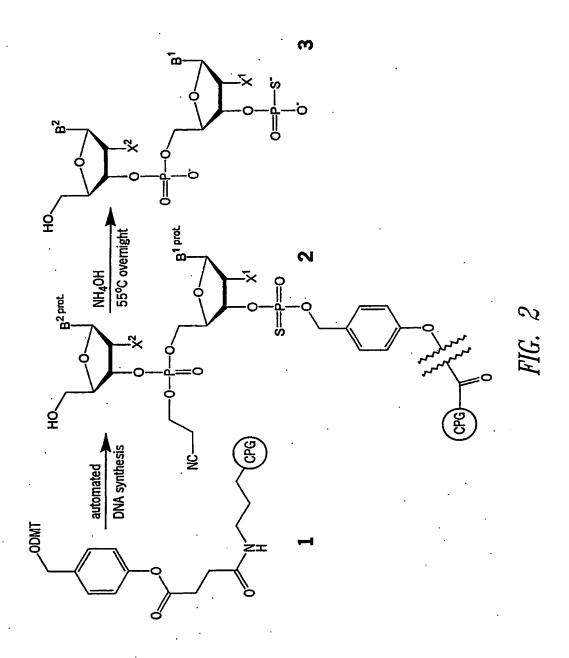
- 1. A method of attaching a thiosphosphate mono- or oligonucleotide to a solid support, comprising contacting a thiosphosphate mono- or oligonucleotide with a solid support functionalized with a linker moiety bearing a functional group under conditions that permit linkage of the mono- or oligonucleotide to the solid support via the thiophosphate sulfur atom.
- 2. The method according to claim 1 wherein the functional group is selected from halo, amino, thiol, epoxy, and acryl.
- 3. The method according to claim 1 wherein the thiosphosphate mono- or oligonucleotide is a 3'-thiosphosphate mono- or oligonucleotide.
- 4. The method according to claim 1 wherein the thiosphosphate mono- or oligonucleotide is a 5'-thiosphosphate mono- or oligonucleotide.
- 5. The method according to claim 3 wherein the 3'-thiosphosphate mono- or oligonucleotide is a dinucleotide.
- 6. The method according to any one of claims 1-5 wherein the functional group is iodo and the link is alkyl.

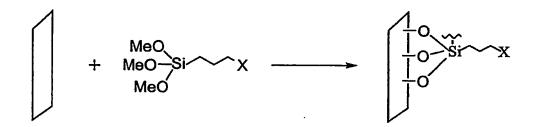
1/4



Method 1







X = I, Br, F, Cl, NH_2 , Glycidyloxy, allyl, acryl

FIG. 4

INTERNATIONAL SEARCH REPORT

Int al Application No Purus 02/31811

A. CLASSI IPC 7	FICATION OF SUBJECT MATTER C12Q1/68 C07H21/00						
	•						
<u>`</u>	International Patent Classification (IPC) or to both national classification	ation and IPC					
	SEARCHED						
IPC 7	cumentation searched (dassification system followed by classification classification classification system followed by classification system f	on symbols)					
3maata	the standard design and the support that a		anash ad				
Documenta	ion searched other than minimum documentation to the extent that s	BCU GOCTHISHES SEE HICHORD IN THE LOWS SE	edicitor				
Electronic d	ata base consulted during the international search (name of data base	se and, where practical, search terms used)				
EPO-Internal							
C. DOCUM	ENTS CONSIDERED TO BE RELEVANT						
Category °	Citation of document, with Indication, where appropriate, of the rela	evant passages	Relevant to dalm No.				
Outogs.,	Olimari di distanza						
X	WO 00 53616 A (AMERSHAM PHARM BIOLTD ;ROSLER ANGELIKA JOSEFINE (GE 14 September 2000 (2000-09-14) the whole document		1-6				
X	WO 01 16152 A (AMERSHAM PHARM BIO 8 March 2001 (2001-03-08) page 5, paragraph 2 page 8, paragraph 2 figures 1-3	OTECH INC)	1-6				
		-/					
		-/					
	•		!				
X Furth	ner documents are listed in the continuation of box C.	χ Patent family members are listed	in annex.				
° Special ca	tegories of cited documents :	"T" later document published after the inte	mational filing date				
"A" docume	ent defining the general state of the art which is not	or priority date and not in conflict with cited to understand the principle or the	the application but				
'E' earlier o	ered to be of particular relevance locument but published on or after the international	invention "X" document of particular relevance; the c	lalmed invention				
filing d "L" docume	ate nt which may throw doubts on priority claim(s) or	cannot be considered novel or cannot involve an inventive step when the do	be considered to				
which		"Y" document of particular relevance; the c cannot be considered to involve an inv	laimed invention ventive step when the				
*O" docume other r	ent referring to an oral disclosure, use, exhibition or neans	document is combined with one or mo ments, such combination being obviou	re other such docu-				
"P" docume	ont published prior to the international filing date but	in the art. *&" document member of the same patent	family				
	actual completion of the International search	Date of mailing of the International sea					
17 December 2002		30/12/2002					
Name and n	nailing address of the ISA	Authorized officer					
1	European Patent Office, P.B. 5818 Patentlaan 2 NL – 2280 HV Rijswijk						
	Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Gohlke, P					

INTERNATIONAL SEARCH REPORT

Int nat Application No
PCI/US 02/31811

C/Continue	tion) DOCUMENTS CONSIDERED TO BE RELEVANT	101703 02731011		
Category °	Citation of document, with Indication, where appropriate, of the relevant passages	Relevant to claim No.		
Α	ROLAND A ET AL: "A novel linker for the solid-phase synthesis of a library of 3'-thiophosphorylated dinucleotides" TETRAHEDRON LETTERS, ELSEVIER SCIENCE PUBLISHERS, AMSTERDAM, NL, vol. 42, no. 22, 28 May 2001 (2001-05-28), pages 3669-3672, XP004249055 ISSN: 0040-4039 cited in the application the whole document	1-6		
A	US 5 204 455 A (WU SYLVIA ET AL) 20 April 1993 (1993-04-20) * Part 6.4; col. 15-16 *	1-6		
	·			

INTERNATIONAL SEARCH REPORT

ormation on patent family members

Int nal Application No
PUI/US 02/31811

Patent document dted in search report		Publication date		Patent family member(s)	Publication date
WO 0053616	A	14-09-2000	AU EP WO	2930800 A 1259524 A2 0053616 A2	28-09-2000 27-11-2002 14-09-2000
WO 0116152	Α	08-03-2001	AU EP WO	6800400 A 1210356 A2 0116152 A2	26-03-2001 05-06-2002 08-03-2001
US 5204455	Α	20-04-1993	US US	5164491 A 6300486 B1	17-11-1992 09-10-2001

Form PCT/ISA/210 (patent family ennex) (July 1992)